

Agenda

Audit, Risk and Improvement Committee (ARIC)

Date: 14 September 2022
Time: 2pm – 5pm
Location: Wyong Committee Room / Microsoft Teams
Chairperson: Carl Millington
Coordinator: Edward Hock, Unit Manager Governance, Risk and Legal

1 Introduction – 2pm

1.1	Acknowledgement of Country, apologies and welcome	Verbal	ARIC Chairperson
1.2	Appointment of and welcome to new member	Verbal	ARIC Chairperson
1.3	Disclosures of Interest	Report	ARIC Chairperson
1.4	Confirmation of previous ARIC meeting minutes	Report	ARIC Chairperson
1.5	Outstanding action items	Report	ARIC Chairperson
1.6	Council's current status	Verbal	Chief Executive Officer

2 Financial Management and External Accountability – 2:20pm

2.1	General Finance update (standing item)	Report	Group Financial Controller
2.2	Draft Financial Statements for the period 1 July 2021 to 30 June 2022	Report	Group Financial Controller

3 Risk Management – 2:50pm

3.1	Enterprise Risk Management Report (standing item)	Report	Enterprise Risk Manager
3.2	Minutes of the Risk Management Committee meeting 10 August 2022	Report	Enterprise Risk Manager

4 Internal Audit – 3.00pm

4.1	Internal Audit Annual Work Plan	Report	Director – Centium / Unit Manager Governance, Risk and Legal
4.2	Internal Audit Report – Cyber Security Health Check	Report	Director – Centium / Unit Manager Governance, Risk and Legal
4.3	Internal Audit Report - DRIVES	Report	Director – Centium / Unit Manager Governance, Risk and Legal
4.4	Self-Insurer Regulatory Authority Claims Management	Report	Director – Centium / Unit Manager Governance, Risk and Legal

5 External Audit – 3:30pm

5.1	NSW Auditor-General's Local Government Report 2021	Report	NSW Audit Office
5.2	NSW Audit Office Engagement Plan for year ended 30 June 2022	Confidential Report	NSW Audit Office

Agenda

Audit, Risk and Improvement Committee (ARIC)

6 Governance and Legislative Compliance – 3:50pm

6.1	Governance Lighthouse report review and update as at 30 June 2022	Report	Unit Manager Governance, Risk and Legal
6.2	Governance workplan 2022-23	Report	Unit Manager Governance, Risk and Legal
6.3	Integrated planning and reporting activities for 2022-23	Report	Unit Manager Strategic Planning
6.4	Update on legal matters (standing item)	Confidential Report	Unit Manager Governance, Risk and Legal

7 Control Framework – 4:30pm

7.1	Report from the Office of the Internal Ombudsman	Report	Senior Internal Ombudsman
-----	--	--------	---------------------------

8 Performance and Continuous Improvement – 4:40pm

8.1	Work, Health and Safety Update	Report	Unit Manager People and Culture
8.2	Service Reviews	Report	Unit Manager Communications, Marketing and Customer Engagement

9 General Business – 4:50pm

9.1	ARIC self-assessment survey	Verbal	Unit Manager Governance, Risk and Legal
9.2	ARIC membership pre-qualification scheme	Verbal	Unit Manager Governance, Risk and Legal

Next Meeting

Extraordinary Finance Meeting

TBC

TBC

Wyong Committee Room