

Agenda



Audit, Risk and Improvement Committee (ARIC)

Date: 14 September 2021
Time: 9am – 12pm
Location: Microsoft Teams
Chairperson: Carl Millington
Coordinator: James Taylor, Section Manager Governance Services

1 Introduction – 9am

1.1	Acknowledgement of Country, apologies and welcome	Verbal	ARIC Chairperson
1.2	Disclosures of Interest	Report	ARIC Chairperson
1.3	Confirmation of previous ARIC meeting minutes	Report	ARIC Chairperson
1.4	Outstanding action items	Report	ARIC Chairperson
1.5	Council's current status	Verbal	Chief Executive Officer

2 Financial Management – 9.20am

2.1	General finance update (standing item)	Verbal	Director Corporate Affairs and Chief Financial Officer
2.2	Monthly Financial Reports July 2020-21	Report	Director Corporate Affairs and Chief Financial Officer
2.3	Draft Financial Report 1 July 2020 – 30 June 2021	Report	Director Corporate Affairs and Chief Financial Officer

3 Internal Audit – 9.50am

3.1	Transitional and future arrangements	Report	Director Corporate Affairs and Chief Financial Officer
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4 External Audit – 10am

4.1	Final Management Letter for FY20	Confidential Report	NSW Audit Office
4.2	Annual Engagement Plan for FY21	Confidential Report	NSW Audit Office
4.3	Feedback on actions proposed in the ITGC Management Letter	Report	Director Corporate Affairs and Chief Financial Officer

5 Governance and Risk Management – 10.30am

5.1	Update on Public Enquiry	Report	Unit Manager Governance and Risk
5.2	Update on investigations regarding contingency workforce engagement (178/2020)	Report	Senior Internal Ombudsman
5.3	Update on legal matters (standing item)	Confidential Report	General Counsel

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6 Risk Management – 10.50am

6.1	Risk activities update (standing item)	Report	Unit Manager Governance and Risk
6.2	Minutes of the Risk Management Committee meeting 17 August 2021	Report	Unit Manager Governance and Risk
6.3	IM&T update	Report	Unit Manager Information and Technology

7 Performance – 11.20am

7.1	Q4 complaints and compliments	Report	Director Corporate Affairs and Chief Financial Officer
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8 Legislative Compliance – 11.30am

8.1	Governance activities update (standing item)	Report	Unit Manager Governance and Risk
8.2	Integrated planning and reporting activities for 2021-22	Report	Unit Manager Strategic Planning

9 Fraud Control – 11:40am

9.1	SIO quarterly progress report	Report	Senior Internal Ombudsman
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10 General Business – 11:50am

10.1	OLG's Risk Management and Internal Audit Framework	Verbal	Unit Manager Governance and Risk
10.2	ARIC annual work plan	Verbal	Unit Manager Governance and Risk

Next Meeting Tuesday 7 December 2021
 2pm – 5pm
 Microsoft Teams