

Audit Risk and Improvement Committee (ARIC)

Meeting Agenda

17 June 2020

Location:	Zoom Meeting	Date:	Wednesday 17 June 2020
		Time:	2pm - 5pm

ITEM		PAGE	TIME	RESPONSIBLE OFFICER
1	Appointment and election of ARIC Chair			
1.1	Reappointment of ARIC External Independent Members and new Councillors	-	2pm	Chief Executive Officer
1.2	Election of the ARIC Chair	3		Chief Executive Officer
2	Introduction			
2.1	Welcome, Acknowledgement of Country & Apologies	-		ARIC Chairperson
2.2	Disclosures of Interest	9		ARIC Chairperson
2.3	Confirmation of Previous ARIC Meeting Minutes	11		ARIC Chairperson
2.4	Outstanding Action Items	21		ARIC Chairperson
3	Internal Audit			
3.1	CIA Quarterly Progress Report	27	2:10pm 30 minutes	Chief Internal Auditor
3.2	Implementation of Management Actions arising from IA Reviews	33		Chief Internal Auditor
3.3	IA Balanced Scorecard Report	103		Chief Internal Auditor
3.4	IA Audit Schedule and Work Program	113		Chief Internal Auditor
3.5	Resourcing of the Audit Function (20/2020ARIC)	126		Chief Internal Auditor
4	External Audit			
4.1	Review by External Consultant on Holiday Parks (19/2020ARIC)	159	2:40pm 10 minutes	Unit Manager Business Enterprise
4.2	2020 Annual Engagement Plan (AEP)	Confidential Report	2:50pm	NSW Audit Office
4.3	Update on External Audit Activities	Verbal Update	20 minutes	NSW Audit Office

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5	Governance			
5.1	SIO Quarterly Progress Report	186	3:10pm 15 minutes	Senior Internal Ombudsman
5.2	Update on SIO IT Investigation Recommendations (40/2020ARIC)	189		Senior Internal Ombudsman
5.3	Audit, Risk and Improvement Committee Charter Update (43/2020ARIC)	194	3:25pm 25 minutes	Unit Manager Governance and Business Services
5.4	Review of Actions – Council Resolution (Item 6.5 from 4 December 2019 meeting)	214		Unit Manager Governance and Business Services
5.5	Governance and Risk Activities Update	218		Unit Manager Governance and Business Services
5.6	OLG Framework Document Compliance Assessment	244		Unit Manager Governance and Business Services
5.7	COVID-19 Council Actions	268		Unit Manager Governance and Business Services
5.8	Audit, Risk and Improvement Committee Action Plan	281	Unit Manager Governance and Business Services	
5.9	Update on Legal Matters	Confidential Report	3:50pm 10 minutes	General Counsel
6	IM&T			
6.1	Update from IM&T on integration of core systems (58/2020ARIC)	Verbal Update	4:00pm 15 minutes	Acting Chief Information Officer
6.2	Presentation on Cyber Security (including Australian Signals Directorate Essential Eight Review) (59/2020ARIC)	287		Acting Chief Information Officer

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7	Finance			
7.1	Presentation by Grant Thornton: Financial Planning after COVID-19	Presentation	4:15pm 20 minutes	External Party presenting
7.2	Audit Office Final Management Letter for year ended 30 June 2019	Confidential Report	4:35pm 15 minutes	Acting Chief Financial Officer
7.3	Finance Update April 2020	297	4.50pm 10 minutes	Acting Chief Financial Officer
8	General Business			
8.1	Update on Workforce Planning and Staff Arrangements	304	5:00pm 10 minutes	Executive Manager People and Culture
9	Next Meeting			
Tuesday 13 October 2020 in Gosford			5:10pm	ARIC Chairperson
Note: further meeting scheduled to take place in October/November 2020 – Date TBA (Finance Meeting for purpose of Financial Statements)				ARIC Chairperson
Close				ARIC Chairperson