

Agenda



Audit, Risk and Improvement Committee (ARIC)

Date: 24 June 2021
Time: 2pm – 5pm
Location: Microsoft Teams / Wyong Committee Room
Interim Chairperson: Carl Millington
Coordinator: James Taylor, Section Manager Governance

1 Introduction – 2pm

1.1	Acknowledgement of Country, Apologies and Welcome to all and the newly appointed Independent External Member and CEO	Verbal	Interim ARIC Chairperson
1.2	Disclosures of Interest	Report	Interim ARIC Chairperson
1.3	Election of ARIC Chair (as per Clause 20 of the ARIC Charter)	Report	Returning Officer
1.4	Introduction of David Farmer	Verbal	Chief Executive Officer
1.5	Introduction Arthur Butler	Verbal	Arthur Butler
1.6	Confirmation of Previous ARIC Meeting Minutes	Report	ARIC Chairperson
1.7	Outstanding Action Items	Report	ARIC Chairperson
1.8	Council's current status	Verbal	Chief Executive Officer

2 Finance – 2.20pm

2.1	General Finance update (standing item)	Verbal	Director Corporate Affairs and Chief Financial Officer
2.2	2020-2021 Q3 Business Report	Report	Director Corporate Affairs and Chief Financial Officer
2.3	Administrator's Minute - Council's response to the Auditor General's Local Government Report	Report	Director Corporate Affairs and Chief Financial Officer
2.4	Update on IPART Pricing Review Process for Water	Report	Director Water and Sewer
2.5	General sale of Council assets	Report	Unit Manager Economic Development and Property
2.6	Sale of land for unpaid rates	Report	Director Corporate Affairs and Chief Financial Officer

3 Internal Audit – 3.05pm

3.1	CIA Quarterly Progress Report	Report	Chief Internal Auditor
3.2	Management Actions Arising from Third Line of Defence Reviews	Report	Chief Internal Auditor
3.3	IA Balanced Scorecard Report	Report	Chief Internal Auditor
3.4	Schedule of Internal Audits for 2021/22	Report	Chief Internal Auditor
3.5	Update on changes affecting IM&T	Verbal	Unit Manager Information and Technology

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4 External Audit – 3.35pm

4.1	Reports issued by the NSW Audit Office	Confidential Report	NSW Audit Office
4.2	Management Letter from NSW Audit Office – Information Technology General Controls Testing Phase of Audit for year ended 30 June 2020	Confidential Report	NSW Audit Office

5 Governance and Risk – 4pm

5.1	SIO Quarterly progress report	Report	Senior Internal Ombudsman
5.2	Governance activities update (standing item)	Report	Unit Manager Governance and Risk
5.3	Update on Public Enquiry	Report	Unit Manager Governance and Risk
5.4	Risk activities update (standing item)	Report	Unit Manager Governance and Risk
5.5	Audit, Risk and Improvement Committee Charter update	Report	Unit Manager Governance and Risk
5.6	Update on legal matters (standing item)	Confidential Report	General Counsel

6 Performance – 4.30pm

6.1	Q3 Complaints and Compliments	Report	Director Corporate Affairs and Chief Financial Officer
6.2	Organisational Performance update	Verbal	Chief Executive Officer
6.3	Holiday Parks External Consultant Review – 14 recommendations made by staff (87/2020 ARIC)	Report	Unit Manager Economic Development and Property

7 General Business – 4.55pm

7.1	Potential information gaps of concern to ARIC members	Verbal	Unit Manager Governance and Risk
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Next Meeting

Tuesday 14 September 2021

9am – 12pm

Microsoft Teams / Wyong Committee Room